



#### SECURITIES AND EXCHANGE COMMISSION

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#### Company Information

SEC Registration No.

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Company Name

SFA SEMICON PHILIPPINES CORPORATION

Industry Classification MFG., N.E.C.

Company Type

Stock Corporation

#### **Document Information**

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REPORT ON FOREIGN OWNERSHIP

### COVER SHEET

## for Applications at TARLAC CITY EXTENSION OFFICE

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#### SFA Semicon Philippines Corporation

Panday Pira Ave. Creekside Rd. Clark Freeport Zone, Pampanga, Philippines Tel. No. (045) 499-1962 / Fax No. (045) 499-1996

May 6, 2021

#### SECURITIES AND EXCHANGE COMMISSION

Tarlac Extension Office

Tarlac City

Attention:

Atty. Richard R. Laus

Director- Tarlac Extension Office

Re

April 2021 Report on Foreign Ownership

#### Gentlemen:

In compliance with Section 17.13 of the Revised Disclosure Rules of the Philippine Stock Exchange, we report herewith the foreign ownership level of **SFA Semicon Philippines Corporation (SSP)** for the month of April 2021 as filed with the Exchange, as follows:

#### **Voting Shares:**

Type of Securities /	Number of Foreign-	Number of Local-	Number of Outstanding Shares
Stock Symbol	Owned Shares	Owned Shares	
SSP	1,876,917,048	288,107,063	2,165,024,111

Foreign Ownership Level of Total	
Outstanding Shares (in %)	86.69%

#### **Non-Voting Shares:**

Type of Securities /	Number of Foreign-	Number of Local-	Number of Outstanding Shares
Stock Symbol	Owned Shares	Owned Shares	
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Foreign Ownership Level of Total Outstanding Shares (in %)	86.69%
Foreign Ownership Limit (in %)	100.00%

Thank you very much.

Very truly yours

**CAROLINE S. SICAT** 

Compliance Officer / Assistant Corporate Secretary

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.

# SFA SEMICON SFA Semicon Philippines Corporation SSP

PSE Disclosure Form 17-13 - Foreign Ownership Report Reference: Section 17.13 of the Revised Disclosure Rules

Report Type

□ Daily

☑ Monthly					VII	
Report Date		Apr 30,	2021			
oting Shares						
	Descriptio Security T		Stock Symbol	Number of Foreign-Owned Shares	Number of Local-Owned Shares	Number of Outstanding Voting Shares
Common -	enve sare <del>d</del> e e		SSP	1,876,917,048	288,107,063	2,165,024,111
				1,876,917,048	288,107,063	2,165,024,111
Foreign Owners						
	oting					
Shares (in %)	ares					
Shares (in %)  Non-Voting Sha  Security			Stock Symbol	Number of Foreign-Owned Shares	Number of Local-Owned Shares	Number of Outstanding Non-Voting Shares
Shares (in %)  Non-Voting Sha  Security	ares Descriptio					
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Shares (in %)  Non-Voting Sha  Security	ares Description Security T			Foreign-Owned Shares	Local-Owned Shares	Non-Voting Shares
Shares (in %)  Non-Voting Sha  Security Type S  Foreign Owner Level of Total Outstanding Shares	nres Descriptio Security T rship hares (in	ype		Foreign-Owned Shares	Local-Owned Shares	Non-Voting Shares



#### SFA SEMICON PHILIPPINES CORPORATION

#### REPORT ON FOREIGN OWNERSHIP As of April 30, 2021

NAME	NATIONALITY	NO.OF SHARES	PERCENTAGE OF OWNERSHIP	
PCD Nominee Corporation (Filipino)	Filipino	287,242,720	13.27%	
F. Yap Securities, Inc.	Filipino	32,000	0.00%	
Haidee A. Generoso &/or Sandy Edward A. Generoso	Filipino	735,000	0.03%	
Elvira M. Cruz and/or Bernardo A. Cruz	Filipino	77,000	0.00%	
Juliet Alejandro Ancheta	Filipino	8,000	0.00%	
Victor G. Co or Co Kian Chay	Filipino	5,000	0.00%	
Stephen T. Teo &/Or Teresita R. Teo	Filipino	5,000	0.00%	
Jesus San Luis Valencia	Filipino	1,000	0.00%	
Bartholomew Dybuncio Young	Filipino	1,000	0.00%	
Owen Nathaniel S AU ITF: Li Marcus AU	Filipino	230	0.00%	
Dondi Ron R. Limgenco	Filipino	111	0.00%	
Carlos Rolda Alindada	Filipino	1	0.00%	
Mary Delia G. Tomacruz <sup>1</sup>	Filipino	1	0.00%	
Number of Local-Owned Shares		288,107,063	13.31%	
SFA Semicon Co., LTD. <sup>2</sup>	Korean	1,840,264,104	85.00%	
PCD Nominee Corporation (Non - Filipino)	Various	36,652,060	1.69%	
Philip &/Or Elnora Turner	British Indian	879	0.00%	
Joon Sang Kang	Korean	1	0.00%	
Daekyu Kang	Korean	1	0.00%	
Minhun Seo	Korean	1	0.00%	
Chunkee Son	Korean	1	0.00%	
Jaejin Lee	Korean	1	0.00%	
Number of Foreign-Owned Shares		1,876,917,048	86.69%	
Total Outstanding Shares	0.010300	2,165,024,111	100.00%	

<sup>&</sup>lt;sup>1</sup> The 100,000 shares owned by Mary Delia G. Tomacruz are lodged with PCD Nominee Corporation (Filipino). <sup>2</sup> Formerly STS Semiconductor & Telecommunications Co., Ltd.

None.	
Filed on behalf by:	
Name	Caroline Sicat
Designation	Deputy Team Head/Corporate Information Officer

LIST OF ALL STOCKHOLDERS
As Of April 30, 2021

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES	OUTSTANDING &	TOTAL HOLDINGS	PERCENTAGE TO
	(FULLY PAID)	(PARTIALLY PAID	(SUBSCRIBED)	TOTAL
	(FULLT PAID)	, PARTIALLY PAID	(SUBSCRIBED)	TOTAL
SFA SEMICON CO., LTD.	1,840,264,10	4 0	1,840,264,104	85.000
PCD NOMINEE CORPORATION (FILIPINO)	287,242,72	0 0	287,242,720	13,267
PCD NOMINEE CORPORATION (NON - FILIPINO)	36,652,06	0 0	36,652,060	1.693
HAIDEE A. GENEROSO &/OR SANDY EDWARD A. GENEROSO	735,00	0 0	735,000	0.034
ELVIRA M. CRUZ AND/OR BERNARDO A. CRUZ	77,00	0 0	77,000	0.004
F. YAP SECURITIES, INC.	32,00	0 0	32,000	0.001
JULIET ALEJANDRO ANCHETA	8,00	0 0	8,000	0.000
VICTOR G. CO &/OR CO KIAN CHAY	5,00	0 0	5,000	0.000
STEPHEN T. TEO &/OR TERESITA R. TEO	5,00	0 0	5,000	0.000
JESUS SAN LUIS VALENCIA	1,00	0 0	1,000	0.000
BARTHOLOMEW DYBUNCIO YOUNG	1,00	0 0	1,000	0.000
PHILIP &/OR ELNORA TURNER	87	9 0	879	0.000
OWEN NATHANIEL S AU ITF: LI MARCUS AU	23	0 0	230	0.000
DONDI RON R. LIMGENCO	11	1 0	111	0.000
CARLOS ROLDA ALINDADA		1 0	1	0.000
DAEKYU KANG		1 0	1	0.000
JOONSANG KANG		1 0	1	0.000
JAEJIN LEE		1 0	1	0.000
MINHUN SEO		1 0	1	0.000
CHUNKEE SON		1 0	1	0.000
MARY DELIA G. TOMACRUZ		1 0	1	0.000
GRAND TOTAL	2,165,024,11	1 0	2,165,024,111	100.000